



2122/4

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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/599,048
	<b>Filing Date</b>	Jun 21, 2000
	<b>First Named Inventor</b>	Murray
	<b>Group Art Unit</b>	2122
	<b>Examiner Name</b>	STEELMAN, MARY J
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> MS1-563US

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> PTO-1449; reference copies (115); return receipt postcard
<b>Remarks</b>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lance R. Sadler, Reg. No. 38,605
Signature	
Date	10/29/09

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 11/1/04		
Typed or printed name	Laurie S. Morgan Julie Gahlen	
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PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

**Complete if Known**

Application Number	09/599,048
Filing Date	Jun 21, 2000
First Named Inventor	Murray
Examiner Name	STEELMAN, MARY J
Art Unit	2122
Attorney Docket No.	MS1-563US

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

12-0769

Lee &amp; Hayes, PLLC

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee  
to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0.00)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims		Extra Claims		Fee from below		Fee Paid	
Independent		-20** =		X			
Claims		-3** =		X			
Multiple Dependent							

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Lance R. Sander	Registration No. (Attorney/Agent)	38,605	Telephone	(509) 324-9256
Signature		Date	10/29/04		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/599,048  
Filing Date Jun 21, 2000  
Confirmation No. 7795  
Inventorship Murray  
Applicant Microsoft Corporation  
Group Art Unit 2122  
Examiner STEELMAN, MARY J  
Attorney's Docket No. MS1-563US  
Title: Networkbased Software Extensions

**INFORMATION DISCLOSURE STATEMENT**

**References – See Attached Form PTO-1449**

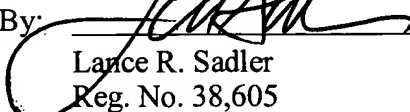
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From: Lance R. Sadler (Tel. 509-324-9256; Fax 509-323-8979)  
Lee & Hayes, PLLC  
421 W. Riverside Avenue, Suite 500  
Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: 10/29/09

By:   
Lance R. Sadler  
Reg. No. 38,605

11/05/2004 AMONDAF1 00000103 120769 09599048  
01 FC:1806 180.00 DA



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Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/599,048		
		Filing Date	Jun 21, 2000		
		First Named Inventor	Murray		
		Group Art Unit	2122		
		Examiner Name	STEELMAN, MARY J		
Sheet	1	of	7	Attorney Docket Number	MS1-563US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4514800		Gruner et al.	04/30/1985	
		4674040		Barker et al.	06/16/1987	
		4739477		Barker et al.	04/19/1988	
		4815029		Barker et al.	03/21/1989	
		4933880		Borgendale et al.	06/12/1990	
		4962475		Hernandez et al.	10/09/1990	
		5072412		Henderson Jr. et al.	12/10/1991	
		5187786		Densmore et al.	02/16/1993	
		5191645		Carlucci et al.	03/02/1993	
		5204947		Bernstein et al.	04/20/1993	
		5206951		Khoyi et al.	04/27/1993	
		5237680		Adams et al.	08/17/1993	
		5249275		Srivastava	09/28/1993	
		5274803		Dubin et al.	12/28/1993	
		5297249		Bernstein et al.	03/22/1994	
		5297283		Kelly Jr. et al.	03/22/1994	
		5313646		Hendricks et al.	05/17/1994	
		5333317		Dann	07/26/1994	
		5339423		Beitel et al.	08/16/1994	
		5339424		Fushimi	08/16/1994	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
			JP 3191429				

Examiner Signature		Date Considered	
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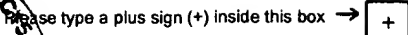
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	3	of	7
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**Complete if Known**

<b>Application Number</b>	09/599,048
<b>Filing Date</b>	Jun 21, 2000
<b>First Named Inventor</b>	Murray
<b>Group Art Unit</b>	2122
<b>Examiner Name</b>	STEELMAN, MARY J
<b>Attorney Docket Number</b>	MS1-563US

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5581686		Koppolu et al.	12/03/1996	
		5581760		Atkinson et al.	12/03/1996	
		5608720		Biegel et al.	03/04/1997	
		5634121		Tracz et al.	05/27/1997	
		5640544		Onodera et al.	06/17/1997	
		5659729		Nielsen	08/19/1997	
		5664178		Sinofsky	09/02/1997	
		5682536		Atkinson et al.	10/28/1997	
		5689703		Atkinson et al.	11/18/1997	
		5706501		Horikiri et al.	01/06/1998	
		5740439		Atkinson et al.	04/14/1998	
		5745683		Lee et al.	04/28/1998	
		5758184		Lucovsky et al.	05/26/1998	
		5758358		Ebbo	05/26/1998	
		5761683		Logan et al.	06/02/1998	
		5764984		Loucks	06/09/1998	
		5778372		Cordell et al.	07/07/1998	
		5784555		Stone	07/21/1998	
		5802304		Stone	09/01/1998	
		5815830		Anthony	09/29/1998	

**FOREIGN PATENT DOCUMENTS**

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Signature

Date  
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
Sheet	4	of	7	Application Number	09/599,048
				Filing Date	Jun 21, 2000
				First Named Inventor	Murray
				Group Art Unit	2122
				Examiner Name	STEELMAN, MARY J
				Attorney Docket Number	MS1-563US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5838906		Doyle et al.	11/17/1998	
		5842018		Atkinson et al.	11/24/1998	
		5854630		Nielsen	12/29/1998	
		5963964		Nielsen	10/05/1999	
		5987480		Donohue et al.	11/16/1999	
		6016520		Facq et al.	01/18/2000	
		6026379		Haller et al.	02/15/2000	
		6026416		Kanerva et al.	02/15/2000	
		6044205		Reed et al.	03/28/2000	
		6072870		Nguyen et al.	06/06/2000	
		6078326		Kilmer et al.	06/20/2000	
		6098081		Heidorn et al.	08/01/2000	
		6108637		Blumenau	08/22/2000	
		6163772		Kramer et al.	12/19/2000	
		6235027		Herzon	05/22/2001	
		6369840		Barnett et al.	04/09/2002	
		6421070		Ramos et al.	07/16/2002	
		6476833		Moshfeghi	11/05/2002	
		6493702		Adar et al.	12/10/2002	
		6560640		Smethers	05/06/2003	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>8</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/599,048
				Filing Date	Jun 21, 2000
				First Named Inventor	Murray
				Group Art Unit	2122
				Examiner Name	STEELMAN, MARY J
Sheet	5	of	7	Attorney Docket Number	MS1-563US

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6631357		Perkowski	10/07/2003	
		6678717		Schneider	01/13/2004	
		20010056429		Moore et al.	12/27/2001	
		20030158897		Ben-Natan et al.	08/21/2003	
		60/191662		Kutay et al.		
		60/203081		Ben-Natan et al.		
		5742504		Meyer et al.	04/21/1998	
		5940075		Mutschler III et al.	08/17/1999	
		6151624		Teare et al.	11/21/2000	
		6199204		Donohue	03/06/2001	
		6253366		Mutschler III	06/26/2001	
		6327628		Anuff et al.	12/04/2001	
		6353926		Parthesarathy et al.	03/05/2002	
		6381743		Mutschler III	04/30/2002	
		6393456		Ambler et al.	05/21/2002	
		6408311		Baisley et al.	06/18/2002	
		6446110		Lection et al.	09/03/2002	

[illegible]

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 6 of 7

### Complete if Known

Application Number	09/599,048
Filing Date	Jun 21, 2000
First Named Inventor	Murray
Group Art Unit	2122
Examiner Name	STEELMAN, MARY J
Attorney Docket Number	MS1-563US

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Store and Organize Related Project Files in a Binder," Getting Results with Microsoft Office, 1990, pages 109-112.	
		Barker et al., "Creating In-Line Objects Within An Integrated Editing Environment," IBM Technical Disclosure Bulletin, Vol. 27, No. 5, October 1984, page 2962.	
		Berg A., "Naming and Binding: Monikers" Inside OLE, 1995, Chapter 9, pages 431-490.	
		Clapp D., "The NeXT Application Kit Part I: Non-Responsive Classes," The NeXT Bible 1990, Chapter 16, pages 275-293.	
		DiLascia et al., "Sweeper" Microsoft Interactive Developer, Vol. 1, No. 1, 1996, 27 pages.	
		Herzner et al., "CDAM- Compound Document Access and Management. An Object-Oriented Approach" Multimedia Systems Interaction and Applications, 1992, Chapter 3, pages 17-36.	
		Kobayashi et al., "An Update on BTRON-specification OS Development" IEEE 1991 pages 132-140.	
		Peterson B., "Unix Variants," Unix Review, Vol. 10, No. 4, April 1992, pages 29-31.	
		Pike et al., "Plan 9 from Bell Labs" UKUUG, Summer 1990, 10 pages.	
		Pike et al., "The Use of Name Spaces in Plan 9," Operating Systems Review Vol. 27, No. 2, April 1993, pp. 72-76.	
		Staneck W., "Internal and External Media" Electronic Publishing Unleashed, 1995, Chapter 22, pages 510-542.	

Examiner  
Signature

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 7 of 7

### Complete if Known

Application Number	09/599,048
Filing Date	Jun 21, 2000
First Named Inventor	Murray
Group Art Unit	2122
Examiner Name	STEELMAN, MARY J
Attorney Docket Number	MS1-563US

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Zdonik S., "Object Management System Concepts," ACM, 1984, pages 13-19.	
		Trupin J., "The Visual Programmer," Microsoft Systems Journal, April 1996, pages 103-105.	
		OMG XML Metadata Interchange (XMI) Specification Version 1.2 January 2002.	
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		Ciancarini, Paolo et al., "Managing Complex Documents Over the WWW: A Case Study for XML," IEEE Transactions on Knowledge and Data Engineering, Vol. 11, No. 4, July/August 1999, pages 629-938.	
		Battle, Steven A., et al., "Flexible Information Presentation with XML," 1998, The Institution of Electrical Engineers, 6 pages.	

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